When Do Introspection Axioms Matter for Multi-Agent Epistemic Reasoning?

Yifeng Ding, Wesley H. Holliday, Cedegao Zhang TARK 2019

UC Berkeley Group of Logic and the Methodology of Science & Department of Philosophy

• There is a huge literature on introspection axioms.

- There is a huge literature on introspection axioms.
- It is shown that sometimes the introspection axioms are the hidden assumptions behind certain "paradoxical" theorems, like the impossibility of agreeing to disagree.

- There is a huge literature on introspection axioms.
- It is shown that sometimes the introspection axioms are the hidden assumptions behind certain "paradoxical" theorems, like the impossiblity of agreeing to disagree.
- Whether it is reasonable to assume them in full is still lively debated in philosophy.

- There is a huge literature on introspection axioms.
- It is shown that sometimes the introspection axioms are the hidden assumptions behind certain "paradoxical" theorems, like the impossiblity of agreeing to disagree.
- Whether it is reasonable to assume them in full is still lively debated in philosophy.

However, debates can be tiring.

- There is a huge literature on introspection axioms.
- It is shown that sometimes the introspection axioms are the hidden assumptions behind certain "paradoxical" theorems, like the impossiblity of agreeing to disagree.
- Whether it is reasonable to assume them in full is still lively debated in philosophy.

However, debates can be tiring.

• Do we really need to introspect and

- There is a huge literature on introspection axioms.
- It is shown that sometimes the introspection axioms are the hidden assumptions behind certain "paradoxical" theorems, like the impossiblity of agreeing to disagree.
- Whether it is reasonable to assume them in full is still lively debated in philosophy.

However, debates can be tiring.

- Do we really need to introspect and
- if we don't even try to introspect, do introspection axioms still matter?

Plan

• Formalize "don't even try to introspect" part.

- Formalize "don't even try to introspect" part.
- Formalize "do axioms matter" part.

- Formalize "don't even try to introspect" part.
- Formalize "do axioms matter" part.
- Give the answer to the formalized if-then question.

- Formalize "don't even try to introspect" part.
- Formalize "do axioms matter" part.
- Give the answer to the formalized if-then question.
- Provide some details.

- Formalize "don't even try to introspect" part.
- Formalize "do axioms matter" part.
- Give the answer to the formalized if-then question.
- Provide some details.
- Discuss previous works and possible extensions.

In the classic muddy children puzzle, the children don't need to reason about their own beliefs. It can also be formalized such that for any child a, \Box_a never immediately scope over \Box_a .

• $\Box_1(\neg m_1 \rightarrow \Box_2(\neg m_1 \land \Box_3 \neg m_1))$

•
$$\Box_1(\neg m_1 \rightarrow \Box_2(\neg m_1 \land \Box_3 \neg m_1))$$

•
$$\Box_1(\neg m_1 \rightarrow \Box_2(\neg m_2 \rightarrow \Box_3 \neg m_2))$$

- $\Box_1(\neg m_1 \rightarrow \Box_2(\neg m_1 \land \Box_3 \neg m_1))$
- $\Box_1(\neg m_1 \rightarrow \Box_2(\neg m_2 \rightarrow \Box_3 \neg m_2))$
- $\Box_1(\neg m_1 \rightarrow \Box_2(\neg m_2 \rightarrow \Box_3 m_3))$

- $\Box_1(\neg m_1 \rightarrow \Box_2(\neg m_1 \land \Box_3 \neg m_1))$
- $\Box_1(\neg m_1 \rightarrow \Box_2(\neg m_2 \rightarrow \Box_3 \neg m_2))$
- $\Box_1(\neg m_1 \rightarrow \Box_2(\neg m_2 \rightarrow \Box_3 m_3))$
- $\Box_1 \Box_2 \neg \Box_3 m_3$ (3 didn't step forward in the first round)

- $\Box_1(\neg m_1 \rightarrow \Box_2(\neg m_1 \land \Box_3 \neg m_1))$
- $\Box_1(\neg m_1 \rightarrow \Box_2(\neg m_2 \rightarrow \Box_3 \neg m_2))$
- $\Box_1(\neg m_1 \rightarrow \Box_2(\neg m_2 \rightarrow \Box_3 m_3))$
- $\Box_1 \Box_2 \neg \Box_3 m_3$ (3 didn't step forward in the first round)
- $\Box_1(\neg m_1 \rightarrow \Box_2 m_2)$

- $\Box_1(\neg m_1 \rightarrow \Box_2(\neg m_1 \land \Box_3 \neg m_1))$
- $\Box_1(\neg m_1 \rightarrow \Box_2(\neg m_2 \rightarrow \Box_3 \neg m_2))$
- $\Box_1(\neg m_1 \rightarrow \Box_2(\neg m_2 \rightarrow \Box_3 m_3))$
- $\Box_1 \Box_2 \neg \Box_3 m_3$ (3 didn't step forward in the first round)
- $\Box_1(\neg m_1 \rightarrow \Box_2 m_2)$
- $\Box_1 \neg \Box_2 m_2$ (2 didn't step forward in the second round)

- $\Box_1(\neg m_1 \rightarrow \Box_2(\neg m_1 \land \Box_3 \neg m_1))$
- $\Box_1(\neg m_1 \rightarrow \Box_2(\neg m_2 \rightarrow \Box_3 \neg m_2))$
- $\Box_1(\neg m_1 \rightarrow \Box_2(\neg m_2 \rightarrow \Box_3 m_3))$
- $\Box_1 \Box_2 \neg \Box_3 m_3$ (3 didn't step forward in the first round)
- $\Box_1(\neg m_1 \rightarrow \Box_2 m_2)$
- $\Box_1 \neg \Box_2 m_2$ (2 didn't step forward in the second round)
- $\Box_1 m_1$

Intuition Every agent only thinks about non-modal propositions or other agents.

Intuition Every agent only thinks about non-modal propositions or other agents.

Formal 1 \square_a can only scope over a Boolean combination of atomic propositions and formulas of the form $\square_b \varphi$ with $b \neq a$, and hereditarily so.

Intuition Every agent only thinks about non-modal propositions or other agents.

- **Formal 1** \square_a can only scope over a Boolean combination of atomic propositions and formulas of the form $\square_b \varphi$ with $b \neq a$, and hereditarily so.
- **Formal 2** In the parsing tree restricted to the modal operators, every path from the roots to the leaves is agent-alternating. Modalities of the same agent are never adjacent.

Intuition Every agent only thinks about non-modal propositions or other agents.

- **Formal 1** \square_a can only scope over a Boolean combination of atomic propositions and formulas of the form $\square_b \varphi$ with $b \neq a$, and hereditarily so.
- **Formal 2** In the parsing tree restricted to the modal operators, every path from the roots to the leaves is agent-alternating. Modalities of the same agent are never adjacent.

This idea is not new. We'll say more about previous works later.

Define a family $\{\mathcal{L}_{-a}\}_{a \in A}$ of languages through the following simultaneous induction:

$$\mathcal{L}_{-a} \ni \varphi ::= p \mid \Box_x \psi \mid \neg \varphi \mid (\varphi \land \varphi)$$

where $p \in \text{Prop}$ and $x \in A \setminus \{a\}$ while $\psi \in \mathcal{L}_{-x}$.

Then the language $\mathcal{L}_{\textit{alt}}$ is defined inductively by

$$\mathcal{L}_{alt} \ni \varphi ::= p \mid \chi \mid \neg \varphi \mid (\varphi \land \varphi)$$

where $p \in \text{Prop}$ and $\chi \in \bigcup_{a \in A} \mathcal{L}_{-a}$.

• \mathcal{L}_{-a} is the set of agent-alternating formulas that don't start with \Box_a .

- \mathcal{L}_{-a} is the set of agent-alternating formulas that don't start with \Box_a .
- \mathcal{L}_{-a} is the set of Boolean combinations of $\operatorname{Prop} \cup \bigcup_{x \neq a} \Box_x \mathcal{L}_{-x}.$

- \mathcal{L}_{-a} is the set of agent-alternating formulas that don't start with \Box_{a} .
- \mathcal{L}_{-a} is the set of Boolean combinations of $\operatorname{Prop} \cup \bigcup_{x \neq a} \Box_x \mathcal{L}_{-x}.$
- Formulas that don't start with □_a have been called "objective formulas for a". L_{-a} is its hereditary version, also studied in the same line of research.

- \mathcal{L}_{-a} is the set of agent-alternating formulas that don't start with \Box_{a} .
- \mathcal{L}_{-a} is the set of Boolean combinations of $\operatorname{Prop} \cup \bigcup_{x \neq a} \Box_x \mathcal{L}_{-x}.$
- Formulas that don't start with □_a have been called "objective formulas for a". L_{-a} is its hereditary version, also studied in the same line of research.
- Our notation is intended to mimic its use in game theory.

- \mathcal{L}_{-a} is the set of agent-alternating formulas that don't start with \Box_{a} .
- \mathcal{L}_{-a} is the set of Boolean combinations of $\operatorname{Prop} \cup \bigcup_{x \neq a} \Box_x \mathcal{L}_{-x}.$
- Formulas that don't start with □_a have been called "objective formulas for a". L_{-a} is its hereditary version, also studied in the same line of research.
- Our notation is intended to mimic its use in game theory.

 $\Box_a(p \land \Box_b \Box_a q)$ is agent-alternating. $\Box_a(\Box_b \Box_a p \land \Box_a q)$ is not.

• With or without the introspection axioms, you can make the same inference moves.

- With or without the introspection axioms, you can make the same inference moves.
- I.e., the logic (what follows from what) doesn't change.

- With or without the introspection axioms, you can make the same inference moves.
- I.e., the logic (what follows from what) doesn't change.
- This means we can do formalization and reasoning with certainty in certain cases while being undertain about what
 really means and which logic it really follows in full generality.

Hence, the question "if we don't even try to introspect, do introspection axioms still matter?" is formalized as follows.

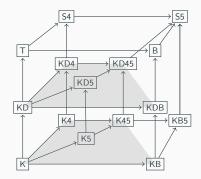
Main question

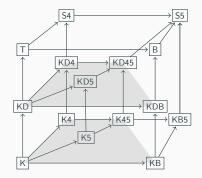
For which modal logic *L* and which axiom φ ,

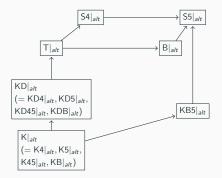
 $\mathsf{L} \cap \mathcal{L}_{\textit{alt}} = \mathsf{L} \varphi \cap \mathcal{L}_{\textit{alt}}?$

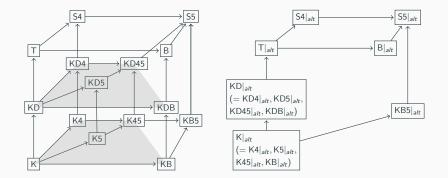
More generaly, for which modal logics L and L', $L \cap \mathcal{L}_{alt} = L' \cap L_{alt}?$

We have a language \mathcal{L}_{alt} , and we ask its power to collapse logics.

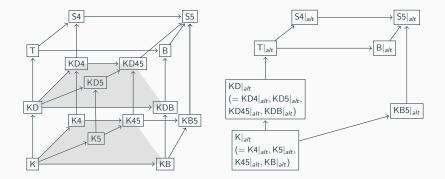




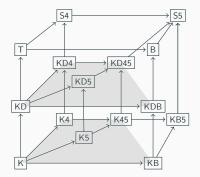


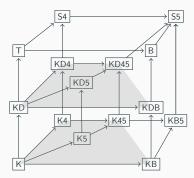


• In particular, $KD|_{alt} = KD45|_{alt}$, but $T|_{alt} \neq S5|_{alt}$.

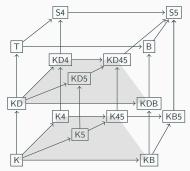


- In particular, $KD|_{alt} = KD45|_{alt}$, but $T|_{alt} \neq S5|_{alt}$.
- KB5 almost has T, so no collapse.

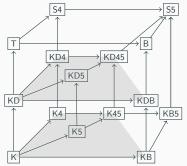




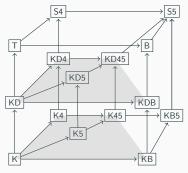
Non-collapsing of the vertical arrows:
 T = □_ap → p and D = □_ap → ◊_ap are agent-alternating.



- Non-collapsing of the vertical arrows:
 T = □_ap → p and D = □_ap → ◊_ap are agent-alternating.
- On the top layer: we can pad ◊_a◊_a with a □_b.

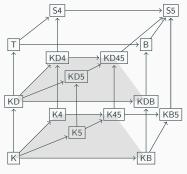


- Non-collapsing of the vertical arrows:
 T = □_ap → p and D = □_ap → ◊_ap are agent-alternating.
- On the top layer: we can pad ◊_a◊_a with a □_b.
- ◊_a□_b◊_ap → ◊_ap is agent-alternating, in S4 but not in B.



- Non-collapsing of the vertical arrows:
 T = □_ap → p and D = □_ap → ◊_ap are agent-alternating.
- On the top layer: we can pad ◊_a◊_a
 with a □_b.
- ◊_a□_b◊_ap → ◊_ap is agent-alternating, in S4 but not in B.

•
$$\Diamond_a \Box_b \Box_a p \to p \in \mathsf{B}|_{\mathit{alt}} \setminus \mathsf{S4}|_{\mathit{alt}}$$



- Non-collapsing of the vertical arrows:
 T = □_ap → p and D = □_ap → ◊_ap are agent-alternating.
- On the top layer: we can pad ◊_a◊_a
 with a □_b.
- ◊_a□_b◊_ap → ◊_ap is agent-alternating, in S4 but not in B.

•
$$\Diamond_a \Box_b \Box_a p \to p \in \mathsf{B}|_{alt} \setminus \mathsf{S4}|_{alt}$$

For KB5, we add ◊_a◊_b⊤ in the antecedent since in KB5 this gaurantees that □_b is factive.

Let's show that $K45|_{alt} \subseteq K|_{alt}$ and $KD45|_{alt} \subseteq KD|_{alt}$.

Let's show that $K45|_{alt} \subseteq K|_{alt}$ and $KD45|_{alt} \subseteq KD|_{alt}$.

 If φ ∈ L_{alt} has a countermodel, then it has a T&E countermodel. If it has a S countermodel, then it has a ST&E countermodel.

Let's show that $K45|_{alt} \subseteq K|_{alt}$ and $KD45|_{alt} \subseteq KD|_{alt}$.

- If φ ∈ L_{alt} has a countermodel, then it has a T&E countermodel. If it has a S countermodel, then it has a ST&E countermodel.
- All models can be transitivised and Euclideanized, preserving seriality and truths in \mathcal{L}_{alt} .

Let's show that $K45|_{alt} \subseteq K|_{alt}$ and $KD45|_{alt} \subseteq KD|_{alt}$.

- If φ ∈ L_{alt} has a countermodel, then it has a T&E countermodel. If it has a S countermodel, then it has a ST&E countermodel.
- All models can be transitivised and Euclideanized, preserving seriality and truths in $\mathcal{L}_{\textit{alt}}.$
- Agent-alternating bisimulation family:

a \leftrightarrows_{-a} for each \mathcal{L}_{-a} and a \leftrightarrows_{alt} for \mathcal{L}_{alt} , interacting correctly.

Let's show that $K45|_{alt} \subseteq K|_{alt}$ and $KD45|_{alt} \subseteq KD|_{alt}$.

- If φ ∈ L_{alt} has a countermodel, then it has a T&E countermodel. If it has a S countermodel, then it has a ST&E countermodel.
- All models can be transitivised and Euclideanized, preserving seriality and truths in \mathcal{L}_{alt} .
- Agent-alternating bisimulation family:

a \leftrightarrows_{-a} for each \mathcal{L}_{-a} and a \leftrightarrows_{alt} for \mathcal{L}_{alt} , interacting correctly.

• Agent-alternating unravelling: keep only agent-alternating paths so that transitivity is trivial.

Let's show that $K45|_{alt} \subseteq K|_{alt}$ and $KD45|_{alt} \subseteq KD|_{alt}$.

- If φ ∈ L_{alt} has a countermodel, then it has a T&E countermodel. If it has a S countermodel, then it has a ST&E countermodel.
- All models can be transitivised and Euclideanized, preserving seriality and truths in \mathcal{L}_{alt} .
- Agent-alternating bisimulation family:

a \leftrightarrows_{-a} for each \mathcal{L}_{-a} and a \leftrightarrows_{alt} for \mathcal{L}_{alt} , interacting correctly.

- Agent-alternating unravelling: keep only agent-alternating paths so that transitivity is trivial.
- Once unravelled agent-alternatingly, we can add arrows and still be agent-alternatingly bisimilar.

 We are not asking expressivity questions for L_{alt} per se in the traditional way, but its bisimulation shows that it is not very expressive in the right way to collapse a lot logics.

- We are not asking expressivity questions for L_{alt} per se in the traditional way, but its bisimulation shows that it is not very expressive in the right way to collapse a lot logics.
- As it happens, in K45 (and hence KD45), ${\cal L}$ is no more expressive than ${\cal L}_{alt}.$

- We are not asking expressivity questions for L_{alt} per se in the traditional way, but its bisimulation shows that it is not very expressive in the right way to collapse a lot logics.
- As it happens, in K45 (and hence KD45), ${\cal L}$ is no more expressive than ${\cal L}_{alt}.$
 - So above K45, no collapse!

- We are not asking expressivity questions for L_{alt} per se in the traditional way, but its bisimulation shows that it is not very expressive in the right way to collapse a lot logics.
- As it happens, in K45 (and hence KD45), \mathcal{L} is no more expressive than \mathcal{L}_{alt} .
 - So above K45, no collapse!
 - And L_{alt} is not collapsing 45 trivially. It says all that can be said (in L_{alt}) among T and E models.

- We are not asking expressivity questions for L_{alt} per se in the traditional way, but its bisimulation shows that it is not very expressive in the right way to collapse a lot logics.
- As it happens, in K45 (and hence KD45), \mathcal{L} is no more expressive than \mathcal{L}_{alt} .
 - So above K45, no collapse!
 - And L_{alt} is not collapsing 45 trivially. It says all that can be said (in L_{alt}) among T and E models.
- We also showed that 4 and 5 are necessary among the logics in the Cube for *L* and *L*|_{alt} to be equi-expressive.

The idea of agent-alternating formulas appeared in different places.

 In epistemic planning, L_{alt} is used for efficient reasoning in L under K45. In fact, K45|_{alt} = K|_{alt} was stated very early (Halpern, Lakemeyer, Shore), though we are unable to locate an explicite proof.

- In epistemic planning, L_{alt} is used for efficient reasoning in L under K45. In fact, K45|_{alt} = K|_{alt} was stated very early (Halpern, Lakemeyer, Shore), though we are unable to locate an explicite proof.
- In refinement quantification logics, \mathcal{L}_{alt} is used for axiomatization.

- In epistemic planning, L_{alt} is used for efficient reasoning in L under K45. In fact, K45|_{alt} = K|_{alt} was stated very early (Halpern, Lakemeyer, Shore), though we are unable to locate an explicite proof.
- In refinement quantification logics, \mathcal{L}_{alt} is used for axiomatization.
- The idea of agent-alternating is very prominent in Bernheim's one of the first papers defining rationalizable strategies, resulting in an agent-alternating system of beliefs.

- In epistemic planning, L_{alt} is used for efficient reasoning in L under K45. In fact, K45|_{alt} = K|_{alt} was stated very early (Halpern, Lakemeyer, Shore), though we are unable to locate an explicite proof.
- In refinement quantification logics, \mathcal{L}_{alt} is used for axiomatization.
- The idea of agent-alternating is very prominent in Bernheim's one of the first papers defining rationalizable strategies, resulting in an agent-alternating system of beliefs.
 - In fact, we can formalize and prove using Kripke models that agent-alternating common belief of rationality implies the played strategy is rationalizable.

Extensions

We can collapse S5 to T if □_a is never allowed in the scope of □_a. We call these formulas agent-nonrepeating.

Extensions

- We can collapse S5 to T if □_a is never allowed in the scope of □_a. We call these formulas agent-nonrepeating.
- We can add the usual common knowledge operator and see if there's a natural fragment in line with the idea of "agent alternating" that collapse logics.

Extensions

- We can collapse S5 to T if □_a is never allowed in the scope of □_a. We call these formulas agent-nonrepeating.
- We can add the usual common knowledge operator and see if there's a natural fragment in line with the idea of "agent alternating" that collapse logics.
- We only have non-collapsing results now. The usual common knowledge is by itself not agent-alternating...

$$\left(\bigwedge_{b\in A\setminus\{a\}}(\Box_bp\wedge C\Box_bp\wedge \Box_b\Box_ap\wedge C\Box_b\Box_ap)\wedge \Box_ap\right)\to Cp.$$

is valid with transitivity, but not otherwise. There should be collapse with infinitely many agents.

• Collapsing results for natural fragments with the standard common knowledge.

- Collapsing results for natural fragments with the standard common knowledge.
- A non-trivial fragment collapsing S5 to T.

- Collapsing results for natural fragments with the standard common knowledge.
- A non-trivial fragment collapsing S5 to T.
- Algebraically, adding axioms is quotienting Lindenbaum algebras. Adding axioms doesn't matter can then be characterized algebraically as a subalgebra is invariant under a quotient. What can we do from the algebraic perspective?

- Collapsing results for natural fragments with the standard common knowledge.
- A non-trivial fragment collapsing S5 to T.
- Algebraically, adding axioms is quotienting Lindenbaum algebras. Adding axioms doesn't matter can then be characterized algebraically as a subalgebra is invariant under a quotient. What can we do from the algebraic perspective?
- Same question for epistemic logics in richer languages. For example, which formulas with puclic announcements are agent alternating?

- Collapsing results for natural fragments with the standard common knowledge.
- A non-trivial fragment collapsing S5 to T.
- Algebraically, adding axioms is quotienting Lindenbaum algebras. Adding axioms doesn't matter can then be characterized algebraically as a subalgebra is invariant under a quotient. What can we do from the algebraic perspective?
- Same question for epistemic logics in richer languages. For example, which formulas with puclic announcements are agent alternating?
- Finally, can we say more about the practical sufficiency of \mathcal{L}_{alt} ?

Thank you!